

Bank Julius Bär & Co. AG  
Herrn Boris Collardi, CEO  
Bahnhofstrasse 36  
8001 Zürich

Basel, 1. September 2016

## **Julius Bär and the Leonardo DiCaprio Foundation**

Dear Mr. Collardi

We are writing to you regarding Julius Bär's relationship as "long-term partner" and sponsor of the Leonardo DiCaprio Foundation and regarding your personal role as Event Chair of the Leonardo DiCaprio Foundation's fundraising galas in Saint – Tropez in 2014, 2015 and 2016.

We are concerned that, as a donor-advised fund, the Leonardo DiCaprio Foundation does not fulfil the usual transparency standards for environmental and human rights charities. In particular, we are concerned that Mr. DiCaprio and the Leonardo DiCaprio Foundation apparently have received significant amounts of money from Politically Exposed Persons (PEPs) from Malaysia, namely from Riza Aziz, the stepson of Malaysian Prime Minister Najib Razak, as well as from Low Taek Jho and Tan Kim Loong, who have been named in a recent US Department of Justice asset forfeiture complaint.

In this context, we would like to ask for your assistance to answer the following questions:

- 1) Does Julius Baer handle financial transactions and manage accounts on behalf of the Leonardo DiCaprio Foundation?
- 2) If yes, in what jurisdictions does Julius Baer manage accounts on behalf of the Leonardo DiCaprio Foundation?
- 3) How does Julius Baer handle transactions and donations by Politically Exposed Persons (PEPs) involving the Leonardo DiCaprio Foundation?
- 4) Does Julius Baer have an overview on Politically Exposed Persons (PEPs) connected to the Leonardo DiCaprio Foundation? If yes, how does Julius Baer handle the connected due diligence requirements and legal risks?

- 5) What kind of due diligence is being undertaken to ensure that funds accepted by Julius Baer on behalf of the Leonardo DiCaprio Foundation exclude proceeds of corruption or other unexplained wealth?
- 6) Is it true that Malaysian national Low Taek Jho donated a Roy Lichtenstein sculpture with an estimated value of \$700,000 to the Leonardo DiCaprio Foundation? If yes, was this donation handled by Julius Baer and did Julius Baer conduct any due diligence on the source of Low Taek Jho's wealth?
- 7) Were any further donations made by Riza Aziz, Low Taek Jho, Tan Kim Loong or any other individuals directly or indirectly connected to the Malaysian state fund 1 MDB?
- 8) What amount has Julius Baer sponsored the Leonardo DiCaprio Foundation with since the beginning of your partnership?
- 9) Are you willing to encourage the Leonardo DiCaprio Foundation to become more transparent and disclose its revenues, expenditures and disbursements to the public?

Thank you for your assistance in helping us resolve this matter.

Sincerely

BRUNO MANSER FUND



Lukas Straumann, Executive Director  
lukas.straumann@bmf.ch



Johanna Michel, Campaigner